

**MINUTES OF MEETING**  
**THE ANNUAL GENERAL MEETING OF SHAREHOLDERS**  
**DATED APRIL 20<sup>th</sup>, 2023**  
**PHU HUNG SECURITIES CORPORATION**

Company name: : Phu Hung Securities Corporation (PHS)

Address: : 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai,  
Tan Phu Ward, District 7, Ho Chi Minh City, Vietnam

Business code : 0313642887

Business license: : 122/GP-UBCK issued by State Securities  
Committee dated 20/01/2016

Time : Commencing at ... P.M on April 20<sup>th</sup>, 2023  
Ending at ... P.M on April 20<sup>th</sup>, 2023

Venue : 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai,  
Tan Phu Ward, District 7, Ho Chi Minh City, Vietnam

Agenda : According to the Agenda of the Annual General  
Meeting of Shareholders dated April 20<sup>th</sup>, 2023  
which was noticed to all shareholders

Chairman of the Meeting : Mr/Ms .....

Meeting Secretariat : Mr/Ms ..... – Chief of Meeting Secretariat

Scrutiny team: : Mr/Ms ..... – Chief of scrutiny team  
Mr/Ms ..... – Member  
Mr/Ms ..... – Member

Attendees: : The attending shareholders and their authorized  
persons are ... shareholders; representing ...  
shares; taking ... of the total voting shares of the  
Company.

The Annual General Meeting of Shareholders dated  
April 20<sup>th</sup>, 2023 ("**Meeting**") thereby convened in  
validation as per Law on Enterprise and Charter of  
Phu Hung Securities Corporation

## I. MEETING OPENING PROCEDURE

1. Shareholders register attendances to receive documents, voting papers, voting cards.
2. Mr/Ms ..... reads the report on Shareholder status verification.
3. Mr/Ms ..... introduces the participants in the Meeting:

♦ Chairman:

Mr/Ms .....

♦ Meeting Secretariat:

Mr/Ms ..... – Chief of Meeting Secretariat

♦ Scrutiny team:

Mr/Ms ..... – Chief of scrutiny team

Mr/Ms ..... – Member of scrutiny team

Mr/Ms ..... – Member of scrutiny team

Shareholders votes for passing members of Chairman, Meeting Secretariat, Scrutiny team with ...% of “agree” ratio.

The Chairman, Secretariat, and Scrutiny team start proceeding the Meeting.

4. Chairman declares agenda of the Meeting.
5. Mr/Ms ..... reads the voting guidelines.
6. The Annual General Meeting of Shareholders dated April 20<sup>th</sup>, 2023 votes for passing agenda, voting guidelines and voting method of the Meeting. Voting has ...% of “agree” ratio.

## II. CONFERENCE AND VOTING

### 1. VOTING

#### Subject 1. Discuss and pass audited Financial statement in 2022

The Board of Directors submits Shareholders to pass the audited Financial Statements in 2022 as attachment.

Shareholders vote to pass with the ratio as below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 2. Discuss and pass the Report of the Board of Directors on the Business performance in 2022**

The Board of Directors submits Shareholders to pass the Report of the Board of Directors on the Business performance in 2022 as attachment.

Shareholders vote to pass with the ratio as below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 3. Discuss and pass the Report of the Inspection Committee on the Business performance in 2022**

The Board of Directors submits Shareholders to pass the Report of the Inspection Committee on the Business performance in 2022 as attachment.

Shareholders vote to pass with the ratio as below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 4. Discuss and pass the Report on Corporate governance of PHS in 2022**

The Board of Directors submits Shareholders to pass the Report on Corporate governance of PHS in 2022 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 5. Discuss and pass the Report on updating the charter capital in Charter of PHS in 2022**

The Board of Directors submits Shareholders to pass the Report on updating the charter capital in Charter of PHS in 2022 as attachment.



Shareholders vote to pass with the ratio below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 6. Discuss and pass the Development and Business plan of PHS in 2023**

The Board of Directors submits Shareholders to pass the Development and Business plan of PHS in 2023 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 7. Discuss and pass the Financial budget in 2023**

The Board of Directors submits Shareholders to pass Financial budget in 2023 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 8. Discuss and pass the selection of Auditor in 2023**

The Board of Directors submits Shareholders to pass the selection of Auditor in 2023 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares (shares)	Percentage
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**Subject 9. Discuss and pass the Plan for handling the operational risk and financial reserve funds and the authorization for the Board of Directors to perform related works**

The Board of Directors submits AGMS to pass the Plan for handling the operational risk and financial reserve funds and the authorization for the Board of Directors to perform related works as attachment.

Shareholders vote to pass with the ratio as below:

<b>Vote result</b>	<b>Number of shares (shares)</b>	<b>Percentage</b>
Valid vote	...	... %
Invalid vote	...	... %
Agree	...	... %
Disagree	...	... %
Abstain	...	... %

**Subject 10. Discuss and pass the Profit Distribution Plan in 2022 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders**

The Board of Directors submits Shareholders to pass the Profit Distribution Plan in 2022 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders as attachment

Shareholders vote to pass with the ratio below:

<b>Vote result</b>	<b>Number of shares (shares)</b>	<b>Percentage</b>
Valid vote	...	... %
Invalid vote	...	... %
Agree	...	... %
Disagree	...	... %
Abstain	...	... %

**Subject 11. Discuss and pass the revised Charter of PHS**

The Board of Directors submits Shareholders to pass the revised Charter of PHS as attachment.

Shareholders vote to pass with the ratio below:

<b>Vote result</b>	<b>Number of shares (shares)</b>	<b>Percentage</b>
Valid vote	...	... %
Invalid vote	...	... %
Agree	...	... %
Disagree	...	... %
Abstain	...	... %

### III. MEETING CLOSURE

Mr/Ms ..... reads the Minutes of Meeting and the Resolution of the Annual General Meeting of Shareholders.

The Minutes of Meeting and the Resolution are passed with the ratio below:

<b>Vote result</b>	<b>Number of shares (shares)</b>	<b>Percentage</b>
Valid vote	...	...%
Invalid vote	...	...%
Agree	...	...%
Disagree	...	...%
Abstain	...	...%

**MEETING SECRETARIAT**

**CHAIRMAN OF THE MEETING**



**Enclosure:**

1.	Submission on the Audited Financial Statement in 2022 (Enclosure: The Audited Financial Statement in 2022)
2.	Submission on the Report of the Board of Directors on the Business performance in 2022
3.	Submission on the Report of the Inspection Committee on the Business performance in 2022
4.	Submission on the Report on Corporate governance of PHS in 2022 (Enclosure: Report on Corporate governance of PHS in 2022)
5.	Submission on the Report on updating the charter capital in Charter of PHS in 2022
6.	Submission on the Development and Business plan of PHS in 2023 (Enclosure: Development and Business plan of PHS in 2023)
7.	Submission on the Financial budget in 2023
8.	Submission on the Selection of Auditor in 2023
9.	Submission on the Plan for handling the operational risk and financial reserve funds and the authorization for the Board of Directors to perform related works
10.	Submission on the Profit Distribution Plan in 2022 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders
11.	Submission on the revised Charter of PHS (Enclosure: Draft Charter, Comparison table between the current Charter and revised Charter)

**LIST OF SHAREHOLDERS PARTICIPATING THE GENERAL MEETING OF  
SHAREHOLDERS**

**PHU HUNG SECURITIES CORPORATION**

*(Attached to minutes of the General Meeting of Shareholders dated April 20<sup>th</sup>, 2023)*

<b>No.</b>	<b>Shareholder</b>	<b>Authorized person attending the meeting</b>	<b>The quantity of shares being held (<i>shares</i>)</b>	<b>Number of votes (<i>vote</i>)</b>	<b>Percentage (%)</b>
1.	...	...	...	...	...
2.	...	...	...	...	...
3.	...	...	...	...	...
4.	...	...	...	...	...
5.	...	...	...	...	...
<b>TOTAL</b>				...	...